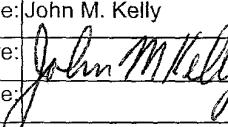
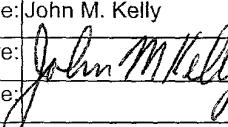


10/02/01 U.S. PRO

10/02/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No.	V637-03010 US
For new nonprovisional applications under 37 CFR 1.53(b)		First Inventor or Application Identifier	
		Samuel S. VILLAREAL et al.	
		Title	ASSYMETRIC DISTRIBUTED BRAGG REFLECTOR FOR VERTICAL CAVITY SURFACE EMITTING LASERS

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>				ADDRESS TO:	Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)				ACCOMPANYING APPLICATION PARTS		
2. <input checked="" type="checkbox"/> Specification Total Pages 24				6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 3				8. <input type="checkbox"/> English Translation Document <small>(if applicable)</small>	9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
4. <input type="checkbox"/> Oath or Declaration Total Pages				10. <input type="checkbox"/> Preliminary Amendment	11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard	
a. <input type="checkbox"/> Newly executed (original or copy)				12. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.		
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 15 completed)</small>				13. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>		
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small>				14. <input type="checkbox"/> Other:		
5. <input type="checkbox"/> Incorporation By Reference <small>(usable if box 4B is checked)</small> <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein</small>				Check for \$ 0.00		
15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below						
<input type="checkbox"/> Continuation		<input type="checkbox"/> Divisional		<input type="checkbox"/> Continuation-in-part (CIP)		of prior application no.:
Prior application information:		Examiner:		Group Art Unit:		
16. Amend the specification by inserting before the first line the sentence:						
<input type="checkbox"/> This application is a		<input type="checkbox"/> Continuation		<input type="checkbox"/> Division		<input type="checkbox"/> Continuation-in-part (CIP)
of application Serial No.				Filed on		
<input type="checkbox"/> This application claims priority of provisional application Serial No. Filed						
17. CORRESPONDENCE ADDRESS						
Andrew A. Abeyta HONEYWELL INTERNATIONAL INC. 101 Columbia Road POB 2245 Morristown, NJ 07962 Telephone No.: (602) 313-3345 Facsimile No.: (602) 313-4559						
Name: John M. Kelly				Registration No.: 33,920		
Signature: 				Date: December 28, 2001		
Name: 				Registration No.:		

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL For FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$920.00

## COMPLETE IF KNOWN

Application No.	T.B.D.
Filing Date	December 28, 2001
First Named Inventor	Samuel S. VILLAREAL et al.
Group Art Unit	T.B.D.
Examiner Name	T.B.D.
Attorney Docket No.	V637-03010 US

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc

 Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

2.  Payment Enclosed:  
 Check     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee Description	Fee Paid
101	740	Utility Filing Fee	740
106	330	Design Filing Fee	
107	510	Plant Filing Fee	
108	740	Reissue Filing Fee	
114	160	Provisional Filing Fee	
<b>SUBTOTAL (1)</b>			\$740.00

## 2. EXTRA CLAIM FEES

Total Claims	-20 =	Extra Claims	Fee from below	=	Fee Paid
30	-20 =	10	18.00	=	180
Independent Claims	- 3 =	0	84.00	=	
Multiple Dependent Claims	- 0 -		280.00	=	-0-

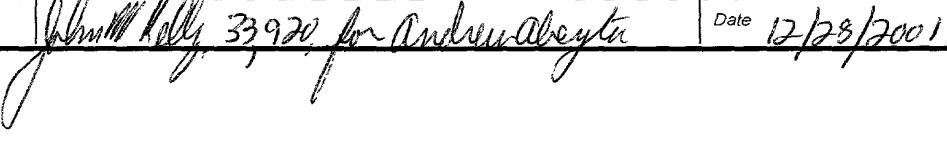
Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		\$180.00

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for ex parte reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive – unavoidable	
141	1,280	Petition to revive – unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Processing fee under 37 CFR 1.17(q)	
126	180	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	740	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	
195	300	Publication fee	
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** \$0.00

## SUBMITTED BY

Name (Print / Type)	Andrew A. Abeyta	Registration No (Attorney/Agent)	39,582	Telephone	602-313-3345
Signature					Date 12/28/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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